NORTH CAROLINA WORKERS COMPENSATION INSURANCE PLAN
APPLICATION FOR DESIGNATION OF AN INSURANCE COMPANY

This application must be typed or printed and submitted to:

NORTH CAROLINA RATE BUREAU
2910 SUMNER BOULEVARD
RALEIGH, NC 27616

or you may submit an electronic application via our website at www.ncrb.org, click on the "ManageAR" link.

A delay in coverage may result if you fail to:
1. Fully answer all questions
2. Remit proper form or amount of deposit premium
3. Include required signatures

For questions, please call: 919-582-1056

This application does NOT provide insurance coverage

FOR BUREAU USE ONLY
Spectrum ID#  
ManageAR ID#  

Pursuant to and in compliance with NC GS 58-36-1(5), the undersigned employer hereby applies for the designation of an insurance company to provide insurance in accordance with the provision of the NC Workers Compensation Insurance Plan.

1. APPLICANT NAME (Enter complete legal name of employer)  
FAX # (Include Area Code)  
DBA Name:

2. MAILING ADDRESS (Including ZIP Code)  
TELEPHONE # (Include Area Code)  
FEDERAL EMPLOYER IDENTIFICATION NUMBER (FEIN)  

3. LEGAL STATUS

<table>
<thead>
<tr>
<th></th>
<th>INDIVIDUAL</th>
<th>CORPORATION</th>
<th>LIMITED LIABILITY CO</th>
<th>OTHER: (please specify)</th>
<th>NUMBER OF YEARS IN BUSINESS</th>
</tr>
</thead>
</table>

4. REQUESTED EFFECTIVE DATE  
NC General Statute 58-36-1(5) may determine coverage effective date.

5. NATURE OF BUSINESS / DESCRIPTION OF OPERATIONS

GIVE COMPLETE DESCRIPTION OF BUSINESS AND OPERATIONS, INCLUDING PRODUCTS MANUFACTURED, SOLD OR SERVICED.

6. ADDITIONAL BUSINESS NAMES & LOCATIONS OF ALL NORTH CAROLINA WORK PLACES (Show principal name and location first)

NOTE: If a PO Box is used as the mailing address in Section 2, then a physical NC location must be listed below.

<table>
<thead>
<tr>
<th>#</th>
<th>NAME, STREET, CITY, STATE, ZIP CODE</th>
<th>NAME, STREET, CITY, STATE, ZIP CODE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>4</td>
<td></td>
</tr>
</tbody>
</table>

PAYROLL OFFICE ADDRESS (Street, City, State & ZIP Code)  
CONTACT PERSON & TELEPHONE NUMBER (Include Area Code)  

REMARKS

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7. GENERAL INFORMATION

Covurances and Ownership

1a. HAS THERE BEEN PREVIOUS WORKERS COMPENSATION INSURANCE COVERAGE IN NORTH CAROLINA?

No [ ] Yes [ ]

If "No", please check one:

NEW BUSINESS [ ] SELF INSURED [ ] OTHER: [ ] (Please specify)

1b. HAS THERE BEEN PREVIOUS WORKERS COMPENSATION INSURANCE IN ANY OTHER STATE?

No [ ] Yes [ ]

2a. IS THERE ANY UNPAID WORKERS COMPENSATION PREMIUM DUE FROM YOU OR ANY COMMONLY MANAGED ENTERPRISES?

Yes [ ] No [ ]

If "Yes", please provide the following information:

Named Insured:

Insurance Company:

Policy Number:

Explain:

2b. IS THERE ANY UNPAID WORKERS COMPENSATION PREMIUM IN DISPUTE FROM YOU OR ANY COMMONLY MANAGED ENTERPRISES?

Yes [ ] No [ ]

If "Yes", please provide the following information:

Named Insured:

Insurance Company:

Policy Number:

Explain:

3. HAS THERE BEEN A NAME CHANGE, CONSOLIDATION, MERGER OR OWNERSHIP CHANGE DURING THE PAST FIVE YEARS?

Yes [ ] No [ ]

If "Yes", please provide the following information and attach a completed:

Previous Name(s):

Date of Change:

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4. DO YOU USE SUBCONTRACTORS AS PART OF YOUR WORK FORCE?

Yes [ ] No [ ]

5. DO YOU LEASE WORKERS FROM A LABOR CONTRACTOR?

Yes [ ] No [ ]

If "Yes", please attach a completed:

CLIENT SUPPLEMENTAL APPLICATION

6. DO YOU LEASE WORKERS TO A CLIENT COMPANY?

Yes [ ] No [ ]

If "Yes", please attach a completed:

LABOR CONTRACTOR SUPPLEMENTAL APPLICATION (SIDE A)

7. ARE YOU SEEKING TO COVER THESE LEASED WORKERS?

Yes [ ] No [ ]

If "Yes", please attach a completed:

LABOR CONTRACTOR SUPPLEMENTAL APPLICATION (SIDE A & B)

8. DO TRUCKING CLASSIFICATIONS APPLY?

Yes [ ] No [ ]

If "Yes", please attach a completed:

TRUCKERS SUPPLEMENTAL APPLICATION

9. ARE THERE ANY OPERATIONS IN STATES OTHER THAN NORTH CAROLINA?

Yes [ ] No [ ]

If "Yes", list states:

If "No", list states:

NOTE: Extension of coverage to other states is subject to designated carrier review and approval. Coverage may not be available in some states.

8. INSURANCE RECORD

PLEASE PROVIDE WORKERS COMPENSATION POLICY INFORMATION FOR THE THREE PREVIOUS YEARS

STATE [ ] INSURANCE COMPANY [ ] POLICY NUMBER [ ] FROM [ ] POLICY PERIOD TO [ ] ANNUAL PREMIUM [ ]

9. CORPORATE OFFICERS, SOLE PROPRIETORS, PARTNERS OR MEMBERS OF A LIMITED LIABILITY COMPANY

Provide a complete list of the names and titles, as well as the additional pertinent information, as it pertains to all officers, sole proprietors, general partners or members of a limited liability company. Please note that the annual salary is required regardless of election or rejection of coverage.

NAME [ ] DATE OF BIRTH [ ] TITLE [ ] OWNERSHIP [ ] DUTIES [ ] ELECT [ ] REJECT [ ] COVERAGE [ ] CLASS [ ] CODE [ ] APPROX [ ] ANNUAL SALARY [ ]

EXECUTIVE OFFICERS OF A CORPORATION ARE AUTOMATICALLY COVERED UNDER THE ACT. ANY EXECUTIVE OFFICER MAY BE SPECIFICALLY EXCLUDED FROM COVERAGE. THE PAYROLL, SUBJECT TO INDIVIDUAL MINIMUM OR MAXIMUM LIMITATIONS AS SHOWN ON THE NORTH CAROLINA RATE PAGES FOR ALL COVERED OFFICERS, MUST BE INCLUDED IN THE PREMIUM CALCULATION SECTION.

SOLE PROPRIETORS, PARTNERS AND MEMBERS OF A LIMITED LIABILITY COMPANY ARE NOT AUTOMATICALLY COVERED UNDER THE ACT. ANY SOLE PROPRIETOR, PARTNER OR MEMBER OF A LIMITED LIABILITY COMPANY MAY ELECT TO BE COVERED. THE PAYROLL, AS SHOWN ON THE NORTH CAROLINA RATE PAGES FOR THOSE COVERED INDIVIDUALS, MUST BE INCLUDED IN THE PREMIUM CALCULATION SECTION.

REMARKS

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10. CALCULATION OF NORTH CAROLINA ESTIMATED ANNUAL / DEPOSIT PREMIUM

<table>
<thead>
<tr>
<th>EMPLOYEE DUTIES OR CLASSIFICATION PHRASEOLOGY</th>
<th>CLASS CODE</th>
<th>ADD USL&amp;H # OF EMPLOYEES</th>
<th>TOTAL PAYROLL</th>
<th>RATE</th>
<th>PREMIUM</th>
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Employer Limits of Liability

Standard Limits of Liability of $100,000 / $100,000 / $500,000 apply to all NC Assigned Risk workers compensation policies. Increased limits can be requested for an additional premium.

Do you want to increase the Employer Limits of Liability?

- **YES**
- **NO**

If “YES”, please select one:

- $500,000 / $500,000 / $500,000
- $1,000,000 / $1,000,000 / $1,000,000

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Request for Any Additional Coverages

DEPOSIT PREMIUM IS DETERMINED BY TAKING A PERCENTAGE OF THE ESTIMATED ANNUAL PREMIUM. THE PERCENTAGE VARIES WITH THE AMOUNT OF THE ESTIMATED ANNUAL PREMIUM (SEE BELOW)

<table>
<thead>
<tr>
<th>ESTIMATED ANNUAL PREMIUM</th>
<th>PAYMENT BASIS</th>
<th>MINIMUM DEPOSIT PERCENTAGE</th>
<th>ADDITIONAL PAYMENTS DURING YEAR</th>
</tr>
</thead>
<tbody>
<tr>
<td>UNDER $5,000</td>
<td>ANNUAL</td>
<td>100% OF ANNUAL</td>
<td>NONE</td>
</tr>
<tr>
<td>AT LEAST $5,000</td>
<td>SEMIANNUAL</td>
<td>75% OF ANNUAL</td>
<td>ONE</td>
</tr>
<tr>
<td>AT LEAST $10,000</td>
<td>QUARTERLY</td>
<td>50% OF ANNUAL</td>
<td>THREE</td>
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</tbody>
</table>

SUCH ADDITIONAL PAYMENTS SHALL BE IN EQUAL AMOUNTS. THE SUM OF WHICH, WHEN ADDED TO THE DEPOSIT PREMIUM, SHALL EQUAL 100% OF ESTIMATED ANNUAL PREMIUM. ESTIMATED ANNUAL PREMIUM AND THE PAYMENT SCHEDULE ARE SUBJECT TO ADJUSTMENT AT INTERIM OR FINAL AUDIT, AND A RISK MAY SELECT A HIGHER DEPOSIT PREMIUM AT INCEPTION.

THE ABOVE "DEPOSIT PREMIUM" TABLE IS FOLLOWED BY THE DESIGNATED CARRIERS. THE DESIGNATED CARRIER, BASED ON SOUND UNDERWRITING PRACTICES, HAS THE RIGHT TO MAKE APPROPRIATE CHANGES IN THE PAYMENT BASIS WHICH THE EMPLOYER HAS SELECTED. THE DESIGNATED CARRIER WILL GIVE THE REASONS FOR ANY CHANGE.

TOTAL MANUAL PREMIUM
- Increased Limits of Employers Liability
- Balance to Increased Limits

TOTAL MODIFIED PREMIUM
- Experience Modification

TOTAL SUBJECT PREMIUM
- ARAP Surcharge
- Charge for Non-ratable Element
- Balance to Minimum Premium at Standard Limits

TOTAL STANDARD PREMIUM
- Expense Constant
- Terrorism

ESTIMATED ANNUAL PREMIUM
- Required Deposit Premium
- Loss Sensitive Rating Plan Premium

TOTAL REQUIRED DEPOSIT PREMIUM

11. PREMIUM PAYMENT

1. Coverage will **NOT** be assigned until receipt of payment of required deposit premium

2. Deposit premium, **payable to the NC Rate Bureau**, must be in the following form(s):
   - Certified or Cashier's Check
   - Money Order
   - Agency Check
   - Premium Finance Company Check
   - EFT (for on-line submissions only)

3. Is the premium financed?  
   - **YES**
   - **NO**  
   (If "YES", attach a copy of the finance agreement)

4. Name of Finance Company:

12. REMARKS
THE UNDERSIGNED EMPLOYER (1) CERTIFIES THAT THE INFORMATION WHICH HAS BEEN GIVEN TO THE AGENT FOR COMPLETION OF THE APPLICATION IS ACCURATE TO THE BEST OF THEIR KNOWLEDGE AND BELIEF AND (2) AGREES:

1. TO MAINTAIN A COMPLETE RECORD OF ALL PAYROLL TRANSACTIONS IN SUCH FORM AS THE INSURANCE COMPANY MAY REASONABLY REQUIRE AND THAT SUCH RECORD WILL BE AVAILABLE TO THE COMPANY AT THE DESIGNATED ADDRESS DURING THE POLICY PERIOD AND FOR ONE YEAR AFTER.

2. TO COMPLY SUBSTANTIALLY WITH ALL LAWS, ORDERS, RULES AND REGULATIONS IN FORCE AND EFFECT MADE BY THE PUBLIC AUTHORITIES RELATING TO THE WELFARE, HEALTH AND SAFETY OF EMPLOYEES.

3. TO COMPLY WITH ALL REASONABLE RECOMMENDATIONS MADE BY THE INSURANCE COMPANY RELATING TO THE WELFARE, HEALTH AND SAFETY OF EMPLOYEES.

THE UNDERSIGNED EMPLOYER ALSO CERTIFIES THEY HAVE HAD NO DIFFICULTIES WITH AN AGENT OR INSURANCE COMPANY IN REGARD TO: (a) PAYROLL RECORDS; (b) THE AMOUNT OF PREMIUM CHARGED; (c) THE PAYMENT OF PREMIUM; (d) THE CARRYING OUT OF ANY RECOMMENDATION MADE FOR THE PURPOSE OF SAFEGUARDING EMPLOYEES AND (e) THE HANDLING OF ANY CLAIM OR ACCIDENT REPORT EXCEPT THE FOLLOWING:

BY SIGNING BELOW I ACKNOWLEDGE THAT THE LOSS SENSITIVE RATING PLAN, IF APPLICABLE, HAS BEEN EXPLAINED TO ME BY MY AGENT. I AGREE THAT I SHALL BE BOUND BY THE TERMS OF SUCH PLAN IF MY ESTIMATED ANNUAL PREMIUM OR PRELIMINARY PHYSICAL AUDIT PREMIUM MEETS OR EXCEEDS THE PREMIUM ELIGIBILITY REQUIREMENT.

ADDITIONAL INFORMATION, SUCH AS, BUT NOT LIMITED TO: 1 - TAX DOCUMENTATION, 2 - OWNERSHIP INFORMATION, 3 - OPERATIONS OR CONTRACTS, MAY BE REQUIRED TO CONFIRM ELIGIBILITY, CLASS CODES, ESTIMATED PAYROLLS OR OTHERWISE PROCESS THE APPLICATION.

ANY ADDITIONAL INFORMATION REQUESTED BY A NORTH CAROLINA RATE BUREAU ASSOCIATE MUST BE FURNISHED BY THE EMPLOYER OR ITS REPRESENTATIVE WITHIN THE SPECIFIED TIME FRAME. FAILURE TO PROVIDE THIS INFORMATION TIMELY MAY RESULT IN A DELAY OF COVERAGE.

THE INSURANCE TO BE PROVIDED IS THROUGH THE NORTH CAROLINA WORKERS COMPENSATION INSURANCE PLAN AND NOT THROUGH THE PRIVATE MARKET. VIOLATION OF ANY OF THESE AGREEMENTS OR FAILURE TO PAY VALID WORKERS COMPENSATION INSURANCE PREMIUM CHARGED MAY RESULT IN CANCELLATION OF ANY POLICY OF INSURANCE ISSUED UNDER THE NORTH CAROLINA WORKERS COMPENSATION INSURANCE PLAN.

APPLICANT SIGNATURE (REQUIRED)

SIGNATURE MUST BE OF AN EXECUTIVE OFFICER OR OWNER AND THE SIGNER MUST BE LISTED IN SECTION 9 OF THE APPLICATION.

<table>
<thead>
<tr>
<th>PRINTED NAME</th>
<th>TITLE</th>
<th>SIGNATURE</th>
<th>DATE</th>
</tr>
</thead>
</table>

14. STATEMENT OF LICENSED AGENT

I, (printed name of agent) , DO HEREBY AFFIRM THAT I AM A LICENSED NORTH CAROLINA AGENT, AND PURSUANT TO NC GS 58-36-1(5), CERTIFY THIS WORKERS COMPENSATION INSURANCE RISK TO BE DIFFICULT TO PLACE WITHIN THE STANDARD MARKET.

I AM THE PRODUCER OF RECORD  YES  NO  (The Producer of Record must be a licensed North Carolina resident broker)

INCLUDED IN THIS APPLICATION IS THE INFORMATION GIVEN TO ME BY THE APPLICANT. IF THE POLICY IS CANCELLED OR INSURANCE TERMINATED WHICH RESULTS IN A RETURN OF PREMIUM TO THE INSURED, I AGREE, UPON REQUEST, TO RETURN MY PROPORTIONATE SHARE OF SUCH RETURN PREMIUM.

OUT OF STATE AGENTS MUST FURNISH A COPY OF THE AGENT’S (Not Agency) NORTH CAROLINA NON-RESIDENT’S LICENSE.

☐ By checking this box, I certify that I have reviewed Section 13 of the Application with the applicant prior to his/her signing.

☐ By checking this box, I hereby acknowledge the signature to this Application as an original signature and request, on behalf of the applicant, the designation of an insurance company to provide insurance in accordance with the provisions of the NC Workers Compensation Insurance Plan, and I certify that I have reviewed the applicant’s responsibilities with the applicant and will retain a copy of the completed Application with the applicant’s signature for a period of not less than five (5) years.

<table>
<thead>
<tr>
<th>AGENT</th>
<th>FEIN OR SOCIAL SECURITY NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>AGENCY</td>
<td>TELEPHONE #</td>
</tr>
<tr>
<td>MAILING ADDRESS</td>
<td>FAX #</td>
</tr>
<tr>
<td>E-MAIL ADDRESS</td>
<td></td>
</tr>
</tbody>
</table>

AGENT SIGNATURE (REQUIRED)

SIGNATURE OF AGENT | DATE |
|--------------------|------|